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PTO/SB/21 (08-00)
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

16

Application Number	09/664,578
Filing Date	September 18, 2000
First Named Inventor	Stephen C. Roderick
Group Art Unit	2161
Examiner Name	Not Yet Assigned
Attorney Docket Number	51033.P001C2

ENCLOSURES

(check all that apply)

☒ Fee Transmittal Form
☐ Fee Attached
☐ Amendment / Reply
☐ After Final
☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☒ Information Disclosure Statement
☐ Certified Copy of Priority Document(s)
☐ Response to Missing Parts/ Incomplete Application
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)
☐ Drawing(s)
☐ Licensing-related Papers
☐ Petition
☐ Petition to Convert to a Provisional Application
☐ Power of Attorney, Revocation Change of Correspondence Address
☐ Terminal Disclaimer
☐ Request for Refund
☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group
☐ Appeal Communication to Board of Appeals and Interferences
☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
☐ Proprietary Information
☐ Status Letter
☒ Other Enclosure(s) (please identify below):

1. Copies of 5 of the IDS Citations; and
2. Return Receipt Postcard.

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Remarks

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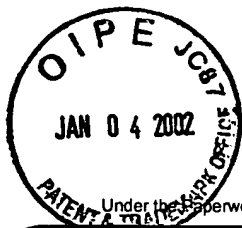
Firm or Individual name	Robert Watt, Reg. No. 45,890 COLUMBIA IP LAW GROUP, PC
Signature	<i>Robert Watt</i>
Date	December 20, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 12/20/2001

Typed or printed name	Michelle J. Turner	Date	12/20/2001
Signature	<i>Michelle J. Turner</i>		

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 0.00

Complete if Known

Application Number	09/664,578
Filing Date	September 18, 2000
First Named Inventor	Stephen C. Rodenick
Examiner Name	Not Yet Assigned
Group Art Unit	2161
Attorney Docket No.	51033.P001G2

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METHOD OF PAYMENT		FEE CALCULATION (continued)			
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 501569 Deposit Account Name: Columbia IP Law Group, PC <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES			
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other					
FEE CALCULATION					
1. BASIC FILING FEE					
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	760	214	80	Provisional filing fee	
SUBTOTAL (1)				(\$) 0.00	
2. EXTRA CLAIM FEES					
Total Claims	Extra Claims	Fee from below	Fee Paid		
	-20**=	X			
Independent Claims	-3**=	X			
Multiple Dependent					
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in Excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$) 0.00	
**or number previously paid, if greater; For Reissues, see above					
		Other fee (specify)			
		SUBTOTAL (3)		(\$) 0.00	

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Robert Watt	Registration No. (Attorney/Agent)	45,890	Telephone	503-595-2800
Signature	<i>Robert Watt</i>	Date	December 20, 2001		

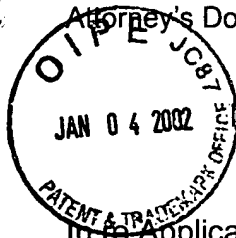
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#2

Attorney's Docket No. 51033.P001C2

Patent



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Stephen C. Roderick

Application No.: 09/664,578

Filed: September 18, 2000

For: METHOD, APPARATUS AND
SYSTEM FOR IMPROVED
CONTENT MANAGEMENT AND
DELIVERY

Examiner: Not Yet Assigned

Art Group: 2161

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed Commissioner for Patents, Washington, DC 20231 on:

Date of Deposit: December 20, 2001

Name of Person Mailing: Michelle J. Turner

Signature: [Signature] Date: 12/20/01

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WASHINGTON, DC 20231

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INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. § 1.97(g), the submission of this Information Disclosure Statement (IDS) is not to be construed as a representation that a search has been made, and, pursuant to 37 C.F.R. § 1.97(h), the submission of this IDS is not to be construed as an admission that the information cited in this IDS is material to patentability.

This IDS is being submitted under one or more of the following sections of 37

C.F.R. § 1.97 (as indicated by an "X" to the left of the appropriate paragraph(s)):

XX 37 C.F.R. § 1.97(b). Filed:

- (1) Within three months of a National Application other than a CPA;
- (2) Within three months of entry into National Stage;
- (3) Before mailing of a first Office Action on the merits; or
- (4) Before mailing of a first Office Action after filing an RCE.

 37 C.F.R. § 1.97(c). Filed before the mailing of a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution.

And, ONE of the following:

(1) One statement from 37 C.F.R. § 1.97(e);

 (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or

 (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS.

OR

(2) The fee set forth in 37 C.F.R. § 1.17(p).

 A check in the amount of \$180.00 is enclosed.

 37 C.F.R. § 1.97(d). Filed on or before payment of the issue fee.

And, BOTH of the following:

(1) one statement from 37 C.F.R. § 1.97(e);

 (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or

 (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS.

AND

(2) The fee set forth in 37 C.F.R. § 1.17(p).

 A check in the amount of \$180.00 is enclosed.

Accompanying this IDS is a Form PTO-1449 listing references for the above captioned patent application. A copy of certain reference (indicated by an * in form PTO-1449) are provided where required by 37 C.F.R. § 1.98. References pursuant to one or more of the following sections of 37 C.F.R. § 1.98 (as indicated by an "X" to the left of the appropriate paragraph(s)) are not provided:

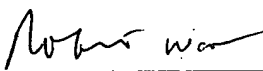
_____ 37 C.F.R. § 1.98(c) as being cumulative.

XX 37 C.F.R. § 1.98(d) as being previously submitted in parent application 09/228,259 (now U.S. Patent No. 6,122,648), to which the present application claims priority under 35 U.S.C. § 120.

The Commissioner is hereby authorized to charge shortages or credit overpayments to Deposit Account No. 501569. A Fee Transmittal is enclosed in duplicate for fee processing purposes.

Respectfully submitted,
COLUMBIA IP LAW GROUP, PC

Dated: 20 Dec, 2001


Robert Watt
Registration No. 45,890

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Portland, Oregon 97223
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